



Friday, 8th October 2021 - Day 5

Our Future Focus

Live session 5-1: UTC, 10:00 – 10:45

The Post Covid World

Understanding the challenges for Compliance Officers
in a post pandemic world



Prof Hernan Huwyler

Director / Risk & Control Senior Lead

IE Law School / Danske Bank

Professor Hernan Huwyler is a risk and compliance specialist for multinational companies. He has been focused on developing practices and controls to address business risks and legal requirements in European and American corporations over twenty years. He is currently working in Copenhagen to lead cyber risk, control assurance and due diligence processes. He also directs executive programs in corporate compliance at the IE Law School. He previously worked for ISS and Deloitte in Copenhagen to develop their practices in compliance and risk advisory. He served as Risk Management and Internal Control Director for Veolia, leading governance, control assurance and fraud and corruption prevention in Iberia and Latin America. He previously worked for ExxonMobil, Baker Hughes and Tenaris. Hernan has an MBA and CPA, as well as, co-chaired the non-financial assurance commission at The Institute of Internal Auditors. He frequently lectures on compliance, risk management, data privacy, GDPR and auditing at top universities and business schools.



Myfanwy Wallwork

Executive General Manager

Regulatory Compliance Global

Myfanwy Wallwork is the Executive General Manager for Regulatory Compliance Global, leading an international cross-functional team comprising product, content, sales and marketing. Regulatory Compliance is an obligation register and alerting solution designed to assist compliance and legal professionals understand and demonstrate their compliance with multiple legal and regulatory instruments.

Myfanwy is also the Executive Sponsor for LexisNexis Australia's Rule of Law program and has worked with government organisations across the Pacific, including the Australian Human Rights Commission, to ensure that legal materials are accessible and actionable. One of her notable roles was part of the Expert Reference Group for the Human Rights and Technology Project, led by the Commission. Following the release of the final report, Myfanwy is keen to further this work by demonstrating the financial and non-financial benefits of adhering to international human rights principles within governance frameworks.



Prof Eduard Ivanov

Professor

Higher School of Economics, Russia

Prof. Dr. Eduard Ivanov lectures at the National Research University Higher School of Economics (Moscow, Russia), the DIREITO GV (Brazil), the University of Nice (France), the Ca' Foscary University of Venice (Italy). He is a Chair of the Working Group on Legislation of the Council on the development of anti-corruption compliance and business ethics at the Russian Chamber of Commerce and Industry, and member of the Experts Board of the National Compliance Association. In 2013-2015, Prof. Ivanov was a chair of the anti-corruption group at the Law Schools Global League. From 2002–08, Eduard Ivanov served as a head of various departments in Rosfinmonitoring (Russian FIU) and was responsible for financial investigations of money laundering and financing of terrorism and for development the international cooperation. From 2005–08 he was

co-chair of the Working Group on Typologies at the Eurasian Group on Combating Money Laundering and Financing of Terrorism and member of the FATF Working Group on Typologies.

He is an expert and published author in the fields of compliance, combating corruption, terrorism, and money-laundering. For more than 20 years, Prof. Ivanov provides training and consultancy in AML/CFT and anti-corruption compliance for government authorities, financial institutions and companies.

Live session 5-2: UTC, 10:45 – 11:30

ESG in the spotlight

Navigating the complexities of environmental, social and governance (ESG) compliance



Mikhail Badenko

Independent expert in sustainable development

European University in St. Petersburg

Graduated from the M.V. Lomonosov Moscow State University, economic faculty, PhD in economics. From 2001 till 2011 worked in EU funded project, UNDP, Eurasian Development Bank. In 2011 joined WWF Global Arctic Programme as Oil and Gas officer, coordinated WWF Arctic offices in oil and gas activities. Participated in the development of Oil Spill Preparedness and Response Agreement of the Arctic Council as invited expert. From 2014 – Green Economy Programme Director at WWF Russia. Author of number of publications. Special fields: green economy, sustainable development, green finance, business engagement with society, international development banks.



Sungjai Choi

Managing Partner / Attorney at Law & Patent Attorney

Wooree Law Firm/ Korean Commercial Board of Arbitration Seoul High Court

Sungjai is Managing Partner for Choishin Law&IP Office, as well as Arbitrator for Korean Commercial Board of Arbitration, Moderator for the Korean Bar Association and Vice President of AIPPI(International Association for the Protection of Industrial Property) since 2014. He lectures at Yonsei University

He holds the following qualifications:

Ph.D., Graduate School of Law, Seoul National University(Regulation on the patent misuse by the standard holder(s) through competition law and policy)(2009)

Ph.D. Candidate, School of Tax Law and Policy, University of Seoul(Domestic Legal Effect of Treaties)(2009)

LL.M., Columbia University Law School (2004)

Sejong-Syracuse MBA Program (2003)

LL.M., Graduate School of Law, Seoul National University (2000)

B.A., German Literature & Private Law, Seoul National University (1990)

Practices include:

Antitrust Litigation and Consultation

IP Disputes [Patent / Utility Model · Litigations · Validity / Clearance Opinion · Copyright · Trade Secret · Unfair Competition · Entertainment]

Corporate Litigation and Consultation.

He has also produced and co-authored several publications and books and has been awarded: Korean Bar Association: Achievement Award(2010) and the Seoul Bar Association: Achievement Award(2009)



Ann Shiels

Knowledge Lawyer

A&L Goodbody LLP

Ann Shiels is a solicitor in A&L Goodbody. Her current role is a knowledge lawyer supporting clients and lawyers in the Asset Management & Investment Funds team in applying current, and tracking new, law, regulation and policy in the Irish and European investment funds industry. Ann has a particular interest in sustainable finance and its, and the broader sustainability agenda's, impact on regulated financial service clients. She holds a certificate in Sustainable Finance from the University of Cambridge's Institute for Sustainability Leadership.



Live session 5-3: UTC, 11:30 – 12:00
Getting to know ISO 37301:2021
An overview of the new requirements and guidelines for effective compliance management systems



Alain Casanovas
Partner
KPMG Abogados, S.L.U

Alain has a Law degree from Universidad Autónoma de Barcelona. Alain has also taken specialist courses in EC institutions in Belgium and Germany.

He is Partner at KPMG since 2000, Alain has designed and carried out projects to improve internal control over Legal Compliance and Corporate Crime Prevention. He is a respected author on these issues and has published several books.

He is a member of the ISO/TC 309 “Governance of Organizations” Group, taking part in the five sub-groups in which it is divided.

He is member in the CTN 165 UNE mirror Committee of the abovementioned ISO/TC 309 “Governance of Organizations” Group.

Alain leads and teaches the Compliance master’s degree on Compliance at Universidad Carlos III (Madrid) and Universitat Pompeu Fabra, in Barcelona and also teaches in IESE Business School

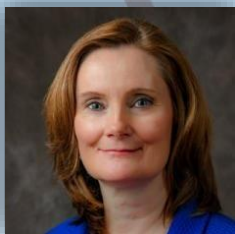
He regularly speaks at conferences on Compliance-related matters and is a member of the writing team of the monthly publication Economist & Jurist.

He is a member of the advisory board of ASCOM Additionally, he is the coordinator of the “Crime Prevention” Group.

He offers courses related to Compliance to judges and magistrates of the Spanish General Council of Justice.

Author of the Guide in Spanish regarding the standard ISO 37301

Live session 5-4: UTC, 12:00 – 12:45
Future-proofing the Compliance Officer
Preparing for new challenges and opportunities



Patricia Harned
Chief Executive Officer
Ethics & Compliance Initiative

Patricia Harned is chief executive officer of the Ethics & Compliance Initiative (ECI), America’s oldest nonprofit in the ethics & compliance industry. ECI is a research and membership organization comprised by institutions across every sector, each dedicated to promoting the highest levels of integrity in their operations.

For sixteen years as CEO, Dr. Harned has overseen all ECI’s strategy and operations. In that time ECI has become the leading provider of independent research about workplace integrity, organizational culture, and effective compliance programs. Dr. Harned is an expert on culture change, ethical leadership, and workplace reporting/retaliation. She leads ECI’s consulting practice: clients have included 195+ prominent organizations around the world. Dr. Harned has been invited to provide ethics training to several boards of directors, and she has participated as a member of monitoring teams for organizations in settlement agreements with federal enforcement agencies.

Dr. Harned also directs outreach efforts to policymakers and federal enforcement agencies in Washington, DC. She has provided ethics & compliance training to officials in the US Department of Justice, testified before Congress and the U.S. Sentencing Commission, and she has personally briefed U.S. Secretary of Defense Ash Carter, the OSHA Whistleblower Protection Advisory Committee, and the Federal Bar Council on strategies to increase effective reporting of suspected misconduct.

Dr. Harned was selected by Ethisphere Magazine as one of the 100 Most Influential People in Business Ethics in 2007, 2014 and 2015, and was named one of the Top Thought Leaders in Trust in 2010, 2011, 2018 and 2020 by the nonprofit organization Trust Across America. She was a member of the PCAOB Standing Advisory Group, and she currently serves on the boards of the U.S. Center for SafeSport and the International Association of Independent Corporate Monitors.

Dr. Harned holds a Bachelor of Science degree from Elizabethtown College in Pennsylvania, a Master of Education degree from Indiana University, and a doctorate from the University of Pittsburgh.

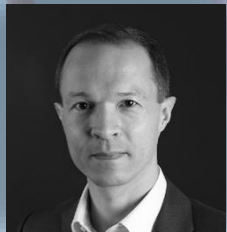


Kathy Jacobs

President

Association of Compliance Officers, Ireland

Kathy Jacobs is an experienced compliance and risk professional, working in these fields over 20 years, mainly in retail banking and also worked in payments fintech and insurance broking. She originally qualified as a chartered accountant in London, worked as a forensic accountant in the public and private sectors before returning to Ireland in 2000 to commence a career in regulatory compliance. She has deep subject matter expertise in Anti-money laundering and terrorist financing and sanctions, data protection, and customer protection. Kathy established and was Chair of the Association of Compliance Officers in Irelands (ACOI's) Data Protection Working Group and also chaired its Financial Crime Compliance Working Group. She is a holder of the Licentiate qualification of the ACOI since 2006, and also holds the Certified Data Protection Officer qualification since 2017. She has been a member of Council of ACOI for 9 years and is currently President.

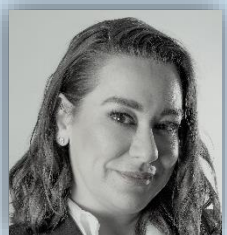


Charles Paré,

Group Chief Compliance Officer

CEVA Logistics

Charles is Group Chief Compliance Officer at CEVA Logistics located in Marseille, France since 2021. Before joining CEVA Logistics, he was Head of Compliance Advisory for Unisys Corporations. Prior to that, he served since 2015 as Head of Ethics & Compliance EU-West at Philip Morris International, supporting colleagues in various markets, factories, and shared-service-centers with the transformation of the Company and the launch of new modified-risk-products. Before that, he held various positions in Ethics & Compliance with global responsibility for risk management, deployment of new technical solutions for case management and speaking-up programs, and in Corporate Audit for risk management and global functions. His previous professional experience was gained with Caddie SA in China and Portugal, as well as at Deloitte, Mazars, and boutique forensic and trading firms, working initially in forensic and later in financial, operational, and risk audit & due diligence across various industries.



Ingrid Matos

Chief Ethics & Compliance Officer

QGMI Construcciones E Infraestructuras Globales SLU

Ingrid Matos, lawyer with more than 20 years of experience in major construction companies. Since 2016, she is Chief Compliance Officer at QGMI, a Spanish construction company with operations in Europe and Africa.

In this function, she has been responsible for the implementation of the Compliance Programme, of international scope, which has been certified with the ISO 37001, ISO 37301 and UNE 19601 standars.

She holds an LLM in Financial Law and an Executive MBA in Business, both from INSPER School in São Paulo, Brazil. In the field of Compliance, she attended the Risk Management Program at Harvard Business School, and she completed the Advanced Compliance Program at IE Business School in Madrid in 2017.

Ingrid is a Certified Compliance Professional (CCEP-I) by SCCE - Society of Corporate Compliance and Ethics / USA and also by the International Compliance Association (ICA / UK).



Live session 5-5: UTC, 12:45 – 13:30
Keynote - Business and Beyond
Facing the future of work with confidence



Graeme Codrington

Futurist, Author, Unlearner, Co-founder and international director

TomorrowToday

Graeme is an internationally recognized futurist, specializing in the future of work.

He helps organizations understand the forces that will shape our lives in the next ten years, and how we can respond in order to confidently stay ahead of change.

For the past two decades Graeme has worked with some of the world's most recognized brands, travelling to over 80 countries in total, and speaking to around 100,000 people every year.

He is the author of 5 best-selling books, and on faculty at 5 top global business schools.

Live session 5-6: UTC, 13:30 – 13:45
IFCA's future focus
Observations, closing remarks and sharing the way forward



Rianné Potgieter

Chief Executive Officer - Compliance Institute Southern African

IFCA Incoming Chairperson

Rianné is Chief Executive Officer, Compliance Institute Southern African & IFCA Incoming Chairperson.

Skilled in Governance, Compliance Risk Management, Internal Audit, Management, Financial Risk, Risk Management and Managerial Finance. Strong business development professional, graduated from the University of KZN.